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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 9417

Application of:

PEDERSON, D. R.

Serial No. 09/784,392

Group Art Unit: 2162

Filed: February 15, 2001

Examiner: Chongshan Chen

For: **OPTIMIZED END TRANSACTION PROCESSING**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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C.F.R. §1.97(b)

Sir:

In accordance with 37 CFR §1.56, §1.97 and §1.98 with regard to the above-named application, please find enclosed a copy of the reference(s) listed on the enclosed Form PTO/SB/08, entitled "Information Disclosure Statement By Applicant".

The form identifies either (i) references cited in a foreign search report with regard to an application corresponding to the named U.S. application, and/or (ii) other references.

If applicable, a copy of the foreign search report is also enclosed herewith.

Respectfully submitted,

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/784,392
Filing Date	February 15, 2001
First Named Inventor	PEDERSON, D. R.
Art Unit	2162
Examiner Name	Chongshan Chen
Attorney Docket Number	9417

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,884,327	03/16/1999	Cotner et al.	
		US- 6,038,587	3/14/2000	Phillips et al.	
		US-			
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FOREIGN PATENT DOCUMENTS

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	09/784,392		
		Filing Date	February 15, 2001		
		First Named Inventor	PEDERSON, D. R.		
		Art Unit	2162		
		Examiner Name	Chongshan Chen		
Sheet	2	of	2	Attorney Docket Number	9417

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		See 1 in Addendum	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Addendum

1. RAHM, ERHARD: "Mehrrechner-Datenbanksysteme: Grundlagen der verteilten und parallelen Datenbankverarbeitung" 1994, ADDISON-WESLEY, GERMANY
XP002321995, Excerpt: pages 111-131 *page 124, paragraph 3 - page 126, paragraph 2*
2. GRAY, JIM, REUTER, ANDREAS: "Transaction Processing: Concepts and Techniques" 1994, MORGAN KAUFMANN, USA, XP002321996, Excerpt: pages 562-575 and 638-646 and 651-653, * page 563, paragraph 4 - page 573, last paragraph * * page 638, paragraph 3 - page 639, paragraph 1 * * page 651, paragraph 1 - page 652, paragraph 4 *
3. SAMARAS G ET AL: "Two-phase commit optimizations and tradeoffs in the commercial environment" PROCEEDINGS OF THE INTERNATIONAL CONFERENCE ON DATA ENGINEERING. VIENNA, APR. 19 - 23, 1993, LOS ALAMITOS, IEEE COMP. SOC. PRESS, US, vol. CONF. 9, 19 April 1993 (1993-04-19), pages 520-529, XP010095489 ISBN: 0-8186-3570-3 * the whole document *
4. AL-HOUMAILY Y J ET AL: "An atomic commit protocol for gigabit-networked distributed database systems" JOURNAL OF SYSTEMS ARCHITECTURE, ELSEVIER SCIENCE PUBLISHERS BV., AMSTERDAM, NL, vol. 46, no. 9, July 2000 (2000-07), pages 809-833, XP004205071 ISSN: 1383-7621 * abstract *